

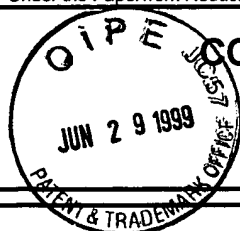
Please type a plus sign (+) inside 



GAU 2735\$
PTO/SB/29 (12/97)
Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for continuation or Divisional application under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.	01985-P0032A
First Named Inventor	Bernard A. Weinstein, et al.
Express Mail Label No.	EM549546342US
Total Pages	Three

This is a request for a ☐ continuation or ☐ divisional application under 37 CFR 1.53(d), or
☒ Continued Prosecution Application (CPA) of prior application number 08/863,037 filed on May 23, 1997 entitled Market Data Notification System.

NOTES

FILING QUALIFICATION: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78 (a).

- ☒ Enter the unentered amendment previously filed on April 19, 1999.
Under 37 CFR 1.116 (Amendment After Final Rejection) in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4)
 - ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
.....
 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- ☐ Information Disclosure Statement (IDS) is enclosed
 - ☐ PTO-1449
 - ☐ copies of IDS Citations

Repln. Ref: 07/02/1999 STEFERRA 0022260800
DA#:194516 Name/Number:08863037
FC: 704 \$110.00 CR

RECEIVED
JUL 02 1999
Group 2700

07/02/1999 STEFERRA 00000109 08863037

760-00-00

01 FC:131

Please type a plus sign (+) inside ox



+

PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 16(c))		11 - 20 =	0	x \$22 =	- 0 -
INDEPENDENT CLAIMS (37 CFR 1.16(B))		3 - 3 =	0	x 82 =	- 0 -
MULTIPLE DEPENDENT CLAIMS (if applicable)(37 CFR 1.16(d))				+ \$270 =	
				BASIC FEE (37 CFR 1.16(a))	\$790.00
				Total of above Calculations =	\$790.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					
TOTAL =					\$790.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

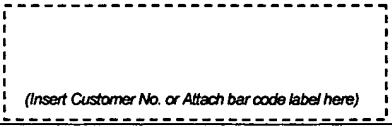
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 19-4516:

- a. ☒ Fees required under 37 CFR 1.16 (national filing).
- b. ☒ Fees required under 37 CFR 1.17 (patent processing).
- c. ☐ Fees required under 37 CFR 1.18 (patent issue).

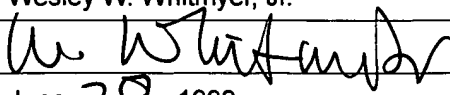
8. ☒ A check in the amount of \$1,740.00 is enclosed.

NOTE

*The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below*

10. NEW CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label				or <input checked="" type="checkbox"/> New correspondence address below	
(Insert Customer No. or Attach bar code label here)					
NAME	Wesley W. Whitmyer, Jr., Registration No. 33,558 St.Onge Steward Johnston & Reens LLC				
ADDRESS	986 Bedford Street				
CITY	Stamford	STATE	Connecticut	ZIP CODE	06905-5619
COUNTRY	United States	TELEPHONE	203 324-6155	FAX	203 327-1096

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Wesley W. Whitmyer, Jr.
SIGNATURE	
DATE	June 29, 1999